

# Comparative screening of dose-dependent and strain-specific antimicrobial efficacy of berberine against a representative library of broad-spectrum antibiotics

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## SUMMARY

Widespread use of antibiotics has resulted in the emergence of antibiotic-resistant bacteria strains, increasing the necessity in research towards the identification of novel antibiotic agents. Berberine, a natural alkaloid that is extracted from the roots and stems of plants in the genus *Berberis*, has been documented to have medicinal potential since 3000 BC, where it was used as an antibacterial agent in ancient Chinese medicine. Since then, berberine and synthesized analogs have been studied for a wide range of medicinal properties, including antimicrobial activity. Based on berberine's history, we hypothesize that berberine has broad-spectrum antibacterial properties, along with potency that is comparable to current broad-spectrum antibiotics that are commercially available. Here, we screened berberine against four strains of bacteria and evaluated its antimicrobial activity against five broad-spectrum antibiotics from different classes to better quantify berberine's antibacterial activity and compare its efficacy as an antibacterial agent to the broad-spectrum antibiotics. Our results indicated that berberine had strain-selective cytotoxic effects and was significantly less potent than most of the broad-spectrum antibiotics. A better understanding of the antimicrobial activity of the berberine may inform the design of future antimicrobial therapies.

## INTRODUCTION

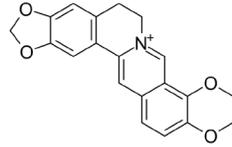
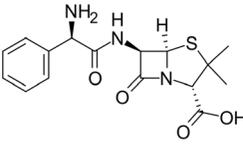
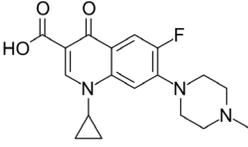
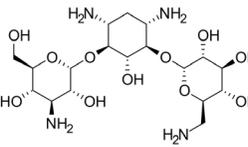
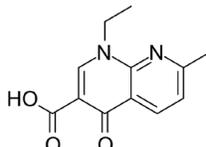
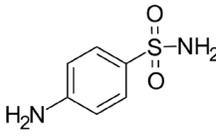
Bacterial resistance against antibiotics through evolution and selection has presented a global health epidemic that has created an urgency for the development of new antibiotics (1). The emergence of antibiotic-resistant bacteria can be attributed to the increasing use of antibiotics, including overuse and misuse. Every year, tens of thousands of people in America die from infections related to antibiotic-resistant bacteria (2). The issue of antibiotic resistance is one that concerns all parts of the world and presents the need for research towards the identification of novel antibacterial agents, including their strain specificity and dose dependency.

The start of the current age of antibiotic development is generally associated with Ehrlich, who developed the

methodology behind systematic screening of drugs while trying to find a drug to treat syphilis in 1904, and Fleming, who accidentally discovered penicillin in 1929. Since then, hundreds of antimicrobial agents have been developed and clinically screened, the majority of which are derived from natural products (3). Current antibiotics lack diversity in their targets, with almost all antibiotics inhibiting DNA, RNA, protein synthesis, or cell wall synthesis. In fact, around half of all antibiotics target the cell wall. Antibiotics also lack diversity in scaffold design - the core structure of small molecules - with most of the novel antibiotics within the past forty years coming from five structural classes (4).

Berberine, an isoquinoline alkaloid extracted from plants in the genus *Berberis*, is of medicinal interest due to its long history in various ancient cultures, most notably Chinese and Ayurvedic medicine, where it was first documented as a therapeutic agent in 3000 BC (5). Berberine is now a supplement that is marketed to individuals with type II diabetes, high blood pressure, high cholesterol, or gastrointestinal infections. Studies on berberine suggest that it is effective against a wide range of diseases such as diabetes, metabolic syndrome, polycystic ovary syndrome, cardiovascular diseases, bacterial infections, and cancer, serving as an indication of berberine's pharmaceutical potential (6-8). Alkaloids, the largest class of natural products, have also demonstrated remarkable biological activities. Berberine is classified as an isoquinoline alkaloid because of its biosynthetic route starting with tyrosine and its isoquinoline skeleton (9).

We are interested in the antibacterial effects of berberine due to its long history of use in ancient medicine and recent studies on berberine's antibacterial activity, including reports of berberine having cytotoxic effects against methicillin-resistant *Staphylococcus aureus* (MRSA) alone and in synergy with other antibiotics (10, 11). Many of these studies focused on one specific strain of bacteria, therefore focusing on one specific class of antibacterial agents. This led us to ask the question: is berberine's antibacterial effect consistent throughout all strains of bacteria, and how effective is berberine compared to other broad-spectrum antibiotics different structural classes? Based on previous studies and its reported use in ancient medicine, we hypothesized that

Class	Representative Antibiotic	Year in Use	Structure	Target
Isoquinoline Alkaloid	Berberine	n/a		Proposed Mechanism of Action - Oxidation of guanines within DNA when photo-irradiated
Beta-Lactam (Penicillin)	Ampicillin	1961		Transpeptidase- enzyme used to make bacterial cell wall
Fluoroquinolone	Enrofloxacin	1988		DNA gyrase- DNA and RNA synthesis
Aminoglycoside	Kanamycin	2003		30S ribosomal subunit- synthesis of proteins
Quinolone	Nalidixic acid	1967		DNA gyrase and topoisomerase IV- coiling of double-stranded DNA
Sulfonamide	Sulfanilamide	1935		Dihydropteroate synthase- synthesizes folic acid from para-aminobenzoic acid

**Table 1.** An introduction into the antibiotics used in this study, including the class, year they went into commercial use, their structures, and biological target. Each biological target includes a description of the role they play (27-32).

berberine would result in cytotoxic effects against all strains of bacteria and have comparable potencies to the broad-spectrum antibiotics screened.

The antibiotics screened in this study are representative of five different structural classes, resulting in different biological targets within cells and interactions with bacteria (Table 1). Structural differences between the different classes of antibiotic agents can be seen in Table 1.

Ampicillin is semi-synthetic, beta-lactam penicillin; beta-lactams bind to penicillin-binding proteins (PBPs) located on the inner membrane of the bacterial cell wall (12). This binding inactivates PBP, interfering with the cross-linkage of peptidoglycan chains, which is essential to maintaining the shape of bacteria and allowing them to withstand osmotic pressure changes (13). The bacterial cell walls become weaker and less rigid, eventually leading to cell lysis.

Sulfanilamide is a sulfonamide antibiotic, which works by competing with para-aminobenzoic acid (PABA) for the

enzyme dihydropteroate synthase, thus preventing PABA from being incorporated into dihydrofolic acid, which prevents the creation of folic acid (14). Within bacteria, folic acid is necessary for synthesizing DNA and bacterial growth, and a lack of folic acid results in bacterial death (15).

Enrofloxacin is a fluoroquinolone antibiotic that inhibits the A subunit of bacterial DNA gyrase, thus preventing the negative supercoiling of bacterial DNA, and DNA synthesis (16). DNA gyrase is an essential enzyme, a topoisomerase, that catalyzes the negative coiling of double-stranded DNA (17, 18). Without DNA gyrase, DNA replication isn't able to occur and cells are unable to replicate.

Nalidixic acid is a synthetic quinolone antibiotic, with a similar quinoline ring structure as enrofloxacin. Nalidixic acid binds to both DNA gyrase and Topo IV, both enzymes that affect the coiling of double-stranded DNA (19-21). Binding to these targets inhibits DNA replication, affecting cell replication and growth. Though the targets and mechanisms

of action between enrofloxacin and nalidixic acid are similar, nalidixic acid is only active against gram-negative strains of bacteria. The introduction of the fluorine into the structure of enrofloxacin increases its antibiotic spectrum to include some gram-positive strains of bacteria (22).

Kanamycin is an aminoglycoside bactericidal antibiotic that inhibits ribosomal proteins, thus inhibiting protein synthesis. Kanamycin binds to the A site of 16S rRNA in the 30S ribosomal subunit of bacteria (23). The binding of kanamycin into rRNA interferes with the interactions and translation of mRNA into tRNA, resulting in incorrect tRNA fragments (24). The peptide that is then synthesized contains incorrect amino acids, therefore inhibiting bacterial activity.

Berberine's mechanism of action within cells remains unknown, however putative methods of action have been studied. Berberine has intercalates into DNA and forms a complex with DNA (25). Upon photo-irradiation of the berberine-DNA complex, berberine oxidizes guanines, causing DNA damage and inhibiting cell replication (26).

Our selection of bacterial strains includes *Bacillus cereus*, *Escherichia coli*, *Neisseria sicca*, and *Staphylococcus epidermidis*, a combination of gram-positive and negative strains. Gram-positive bacteria have a thick peptidoglycan layer and no outer lipid membrane, while gram-negative bacteria have a thin peptidoglycan layer and an outer lipid membrane (33). The differences between the four strains of bacteria chosen in our study help determine selectivity within antibacterial agents (Table 2). There are strains that belong to all four species that have been shown to be pathogenic.

## RESULTS

This work is important to understand the specificity of antibiotics which can help to address the globally pressing issue of antibiotic resistance. Antimicrobial activity was

quantified through a Kirby Bauer assay, which screens antibiotic susceptibility through the use of infused discs. The average radius of inhibition (ROI) from the four duplicate experiments and standard error were recorded for the inhibitory effects of berberine and five broad-spectrum antibiotics (ampicillin, enrofloxacin, kanamycin, nalidixic acid, and sulfanilamide) against four strains of bacteria (*B. cereus*, *E. coli*, *N. sicca*, and *S. epidermidis*), with a control of 5% DMSO in water. (Figure 1A-D).

### *B. cereus*

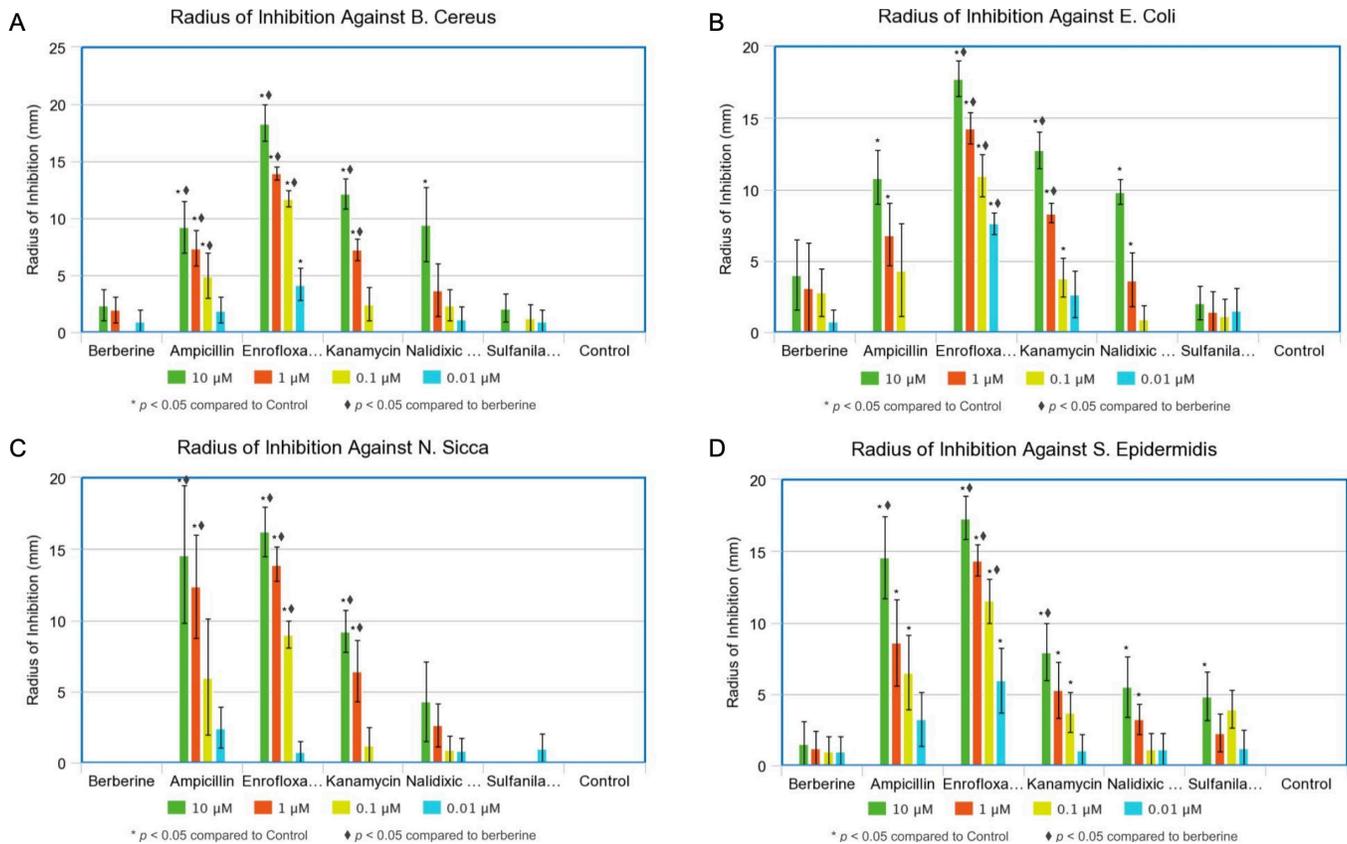
Berberine was ineffective against *B. cereus* compared to the other, broad-spectrum antibiotics screened. Enrofloxacin had the most potent effects against *B. cereus*, and ampicillin, kanamycin, and nalidixic acid had similar effects against *B. cereus* (Figure 1A). Results from unpaired t-testing indicated that the ROI as a result of berberine was statistically insignificant compared to the control (Figure 1A). The ROI of bacteria growth from enrofloxacin (2-tail unpaired t-test, p-value < 0.001), ampicillin (2-tail unpaired t-test, p-value 0.0064), kanamycin (2-tail unpaired t-test, p-value < 0.001), and nalidixic acid (2-tail unpaired t-test, p-value 0.0282) at a high concentration were significant compared to the control, however the ROI of nalidixic acid was not significant compared to berberine's ROI (2-tail unpaired t-test, p-value 0.094). Enrofloxacin, ampicillin, and kanamycin all have radii of inhibition that are statistically significant compared to berberine.

### *E. coli*

In the study with *E. coli*, berberine was not as potent as other antibiotics. Enrofloxacin, kanamycin, and ampicillin demonstrated inhibition against bacterial growth, with enrofloxacin having been the most potent (Figure 1B). The

Strain	Gram	Related illnesses	Where found	Characteristics
<i>Bacillus cereus</i>	Positive (34)	Food poisoning, vomiting, diarrhea (35)	Soil and vegetation; most commonly spread in meat, eggs, and dairy (36)	Facultative anaerobic - can produce ATP in the absence of oxygen (37)
<i>Escherichia coli</i>	Negative (38)	Diarrheal diseases, bacteremia, infant mortality, and urinary tract infections (39)	In the gastrointestinal tract of humans and animals, typically not pathogenic (40)	Adaptable to many different environments, can survive in the harsh climate outside the gut. (41)
<i>Neisseria sicca</i>	Negative (42)	Pneumonia, meningitis, endocarditis (43)	Human oropharynx, behind the oral cavity (44)	Oxidase-positive (aerobic) and produces cytochrome c oxidase, allowing oxygen to be used as an electron acceptor in the electron transport chain (45)
<i>Staphylococcus epidermidis</i>	Positive (46)	Nosocomial infections (hospital-related infections) (47)	Typically lives in symbiosis with skin, infections connected to medical devices (48)	Biofilm formation protects the bacteria from immune response and antibacterial agents (49)

**Table 2.** A comparison of the four strains of bacteria used in this study, including gram-positive versus gram-negative, illnesses that they cause, where they can typically be found, and some other properties essential to the bacteria's survival or pathogenic nature.



**Figure 1. Average radius of inhibition of different broad-spectrum antibiotics at 4 different concentrations (10 mM, 1 mM, 0.1 mM, 0.01 mM) compared to berberine against four strains of bacteria (B. cereus, E. coli, N. sicca, S. epidermidis), reported in mm. (A) ROI against B. cereus (B) ROI against E. coli (C) ROI against N. sicca (D) ROI against S. Epidermidis. The \* indicates that the p-value is less than 0.05 compared to the control, and the † indicates that the p-value is less than 0.05 compared to the ROI of berberine. Error bars are also represented for each set of conditions (n=4).**

ROI from berberine (2-tail unpaired t-test, p-value 0.154) and sulfanilamide (2-tail unpaired t-test, p-value 0.01341) were statistically insignificant compared to the control, while all other broad-spectrum antibiotics had radii of inhibition that were significant. Only enrofloxacin (2-tail unpaired t-test, p-value 0.0025) and kanamycin (2-tail unpaired t-test, p-value 0.0201) had ROI that were statistically significant compared to berberine, with enrofloxacin's ROI having been significant at all concentrations.

### N. sicca

Berberine had no inhibition against the growth of N. sicca, demonstrating that berberine had strain-specific antibacterial effects. All broad-spectrum antibiotics except sulfanilamide had ROI that are nonzero, but the ROI from nalidixic acid were statistically insignificant (2-tail unpaired t-test, p-value 0.175) compared to the control (Figure 1C). Sulfanilamide had an outlier at the lowest concentration, where the ROI was positive, however the value falls within the standard error. Enrofloxacin (2-tail unpaired t-test, p-value < 0.001), ampicillin (2-tail unpaired t-test, p-value 0.0226), and kanamycin (2-tail unpaired t-test, p-value 0.0008) had radii of inhibition that were statistically significant compared to berberine's ROI at

higher concentrations, with ampicillin and enrofloxacin having had similar and the most potent effects.

### S. epidermidis

All broad-spectrum antibiotics except sulfanilamide had radii of inhibition that were statistically significant (2-tail unpaired t-test, ampicillin p-value 0.0024, enrofloxacin p-value < 0.001, kanamycin p-value 0.0078, nalidixic acid p-value 0.0401) compared to the control, while the radii of inhibition as a result of sulfanilamide (2-tail unpaired t-test, p-value 0.1351) was only significant at high concentrations and berberine (2-tail unpaired t-test, p-value 0.3559) was statistically insignificant compared to the control (Figure 1D). Enrofloxacin had the most potent antibacterial effects, with all concentrations resulting in ROI that were statistically significant compared to berberine (2-tail unpaired t-test, p-value < 0.001). At higher concentrations, kanamycin (2-tail unpaired t-test, p-value 0.0456) and ampicillin (2-tail unpaired t-test, p-value 0.06554) had ROI that were statistically significant compared to berberine, however nalidixic acid (2-tail unpaired t-test, p-value 0.1801) was insignificant compared to berberine.

Enrofloxacin consistently had the most potent effects against all bacteria strains, with ampicillin possessing similar inhibitions against *N. sicca* and *S. epidermidis*. Against all strains of bacteria except *N. sicca*, kanamycin and nalidixic acid had comparable potencies, however they were less potent than enrofloxacin. The control in our Kirby Bauer assay demonstrated no cytotoxic effects. The trends observed in our results, with antibiotic solutions at 10 mM concentrations having had the greatest inhibition and the antibiotic solutions of 0.1 mM demonstrating the least inhibition, were expected.

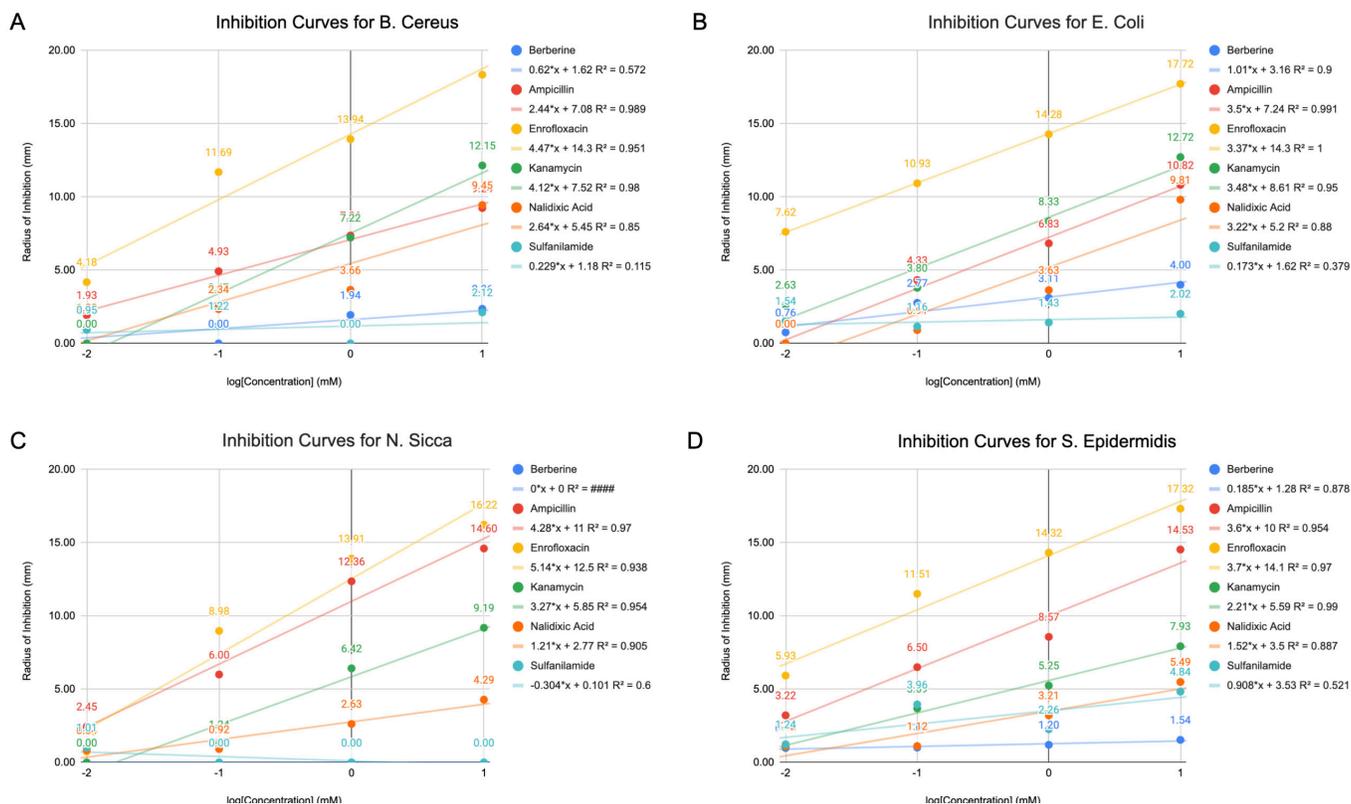
Inhibition curves were created to evaluate the effectiveness of the antibiotics and understand how concentration was related to inhibition. We observed dose-dependent cytotoxic effects of the various antibiotics, including berberine. A ten-fold increase in the concentration of the antibiotic solution resulted in a constant increase in the ROI of bacteria growth. R2 values from the linear fit of the data points throughout all four strains of bacteria for all the screened compounds indicate that the antibiotics and berberine were dose-dependent. A R2 value of one indicates that the relationship between the concentration of antibiotic solution and ROI is perfectly logarithmic. Sulfanilamide consistently had R2 values that were lower than the other screened compounds, with the worst R2 against *B. cereus* (Figure 2A). Berberine had high R2 values when screened against *E. coli* (Figure 2B) and *S. epidermidis* (Figure 2D), but that trend did not hold against *B. cereus* (Figure 2A). All other

broad-spectrum antibiotics consistently had high R2 values throughout all four strains of bacteria.

Compared to the broad-spectrum antibiotics that were screened in this study, berberine consistently demonstrated less potent effects. Berberine and sulfanilamide had similar results with unpaired t-tests determining that the difference in inhibition compared to the control was insignificant. However, unlike the broad-spectrum antibiotics, berberine demonstrated strain-selective cytotoxic effects. Berberine presented no inhibition of bacterial growth in *N. sicca* and the radii of inhibition for *S. epidermidis* all fall within the standard error, while results against *E. coli* and *B. cereus* all had positive radii of inhibition. All the broad-spectrum antibiotics except sulfanilamide had cytotoxic effects against all strains of bacteria. Our results demonstrate that berberine is not a potent antibacterial agent compared to broad-spectrum antibiotics, however it does have strain-specific cytotoxic effects, with the most potency against *E. coli* and *B. cereus*.

## DISCUSSION

Although berberine has been used in ancient cultures as medicine for thousands of years, its antibacterial effects are not comparable to broad-spectrum antibiotics. The demand for new antibacterial agents as more and more drug-resistant bacteria emerge has led to increasing research in identifying novel antibacterial agents. Through screening berberine against four strains of bacteria, it was apparent that berberine



**Figure 2. Inhibition curves for four strains of bacteria.** All graphs are fit to a linear curve, with the equation of the line and R2 values reported. (A) Inhibition curve for *B. cereus* (B) Inhibition curves for *E. coli* (C) Inhibition curve for *N. sicca* (D) Inhibition curve for *S. Epidermidis*

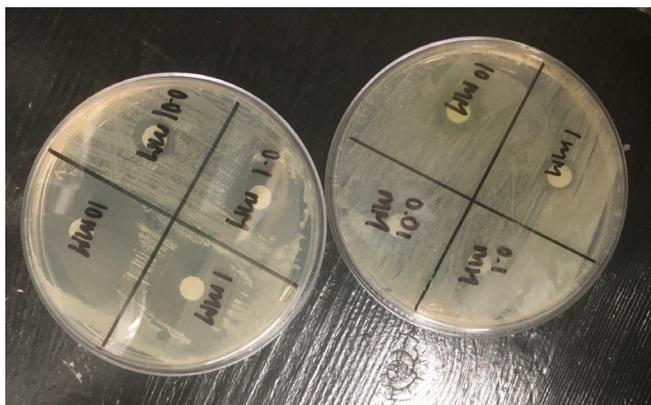
had selective antibacterial effects, with the most potent inhibitory effects against the growth of *E. coli* and *B. cereus*, which may help inform the design of future antibacterial agents.

In order to further determine its effectiveness and its characteristics of selectivity as an antimicrobial compound, the same screening of antibiotics could be conducted against a larger variety of bacterial strains, allowing for an effective comparative analysis. This will allow us to gain a better understanding of which strains of bacteria berberine has cytotoxic effects against, and whether there is a pattern that is present.

Understanding the target in the cell of each antibacterial agent and bacterial strain screened would also allow for better drug design in the future. In particular, molecules like berberine that have already demonstrated biological activity can be synthetically modified to increase potency and cytotoxic effects. Though berberine's mechanism of action within cells is not well understood, berberine's strain-selective cytotoxic effects may provide more insight into its mechanism of action.

Using berberine as an antibacterial agent may also result in undesired side effects, as berberine has been previously demonstrated to affect eukaryotic cells. Berberine can bind to the G-quadruplex structures of DNA, where it can then inhibit telomerase (50). Cytotoxic effects of berberine have also been attributed to its activity within the MAPK signal pathway where it specifically targets p38. The MAPK signal pathway ultimately affects DNA replication (51). These processes, which do not directly impact the antibacterial activity of berberine, may have other implications in the future and should be considered.

In this study, berberine's antibacterial effects against four strains of bacteria, both gram-positive and gram-negative strains, was compared to the cytotoxic effects of five, broad-



**Figure 3. Experiment set-up with 10 mM, 1 mM, 0.1 mM, and 0.01 mM concentrations of berberine and ampicillin solutions against *S. epidermidis*.** Petri dishes inoculated with *S. epidermidis*, with the radii of inhibition as the result of ampicillin (left) and berberine (right) at four different concentrations. Inhibition of bacterial growth can be seen surrounding the filter paper discs. Concentrations of the antibiotic solution of the filter paper discs are also shown.

spectrum antibacterial agents. Berberine demonstrated strain-selective antibacterial effects but was found to be significantly less potent than all the broad-spectrum antibiotics included in this study, except sulfanilamide. These results provide insight into the biological activity of berberine and its potential as a pharmaceutical agent.

## MATERIALS & METHODS

Berberine was compared to five broad-spectrum antibiotics: ampicillin, enrofloxacin, kanamycin, nalidixic acid, and sulfanilamide. Radius of inhibition (ROI) values were acquired through the Kirby Bauer assay (Figure 3).

### Bacteria

Live bacteria cultures of *Bacillus cereus*, *Escherichia coli*, *Neisseria sicca*, and *Staphylococcus epidermidis* were obtained from Carolina Biological. Overnight cultures of the bacteria were grown in falcon tubes of 10-15 mL of LB media (1% tryptone, 1% NaCl, 0.5% protein media, 97.5% water). The overnight cultures of the bacteria were incubated at 37°C for 12-14 hours.

### Antibiotic Solutions

Antibiotic solutions were made in four different concentrations (10 mM, 1 mM, 0.1 mM, 0.01 mM) in deionized water with 5% DMSO. The antibiotics were acquired from ACTGene, Aquaculture Antibiotics, Fisher BioReagents, or HiMedia. A solution of 5% DMSO in deionized water was used as the control. The antibiotic solutions were administered through filter paper discs that were saturated with the solution.

### Inoculation

Bacteria from the overnight cultures were inoculated on petri dishes plated with Mueller Hinton Agar acquired from HiMedia. Each Petri dish was first inoculated with one strain of bacteria. Four filter paper discs with the same antibiotic solution of varying concentrations were placed on the same petri dish. Petri dishes were incubated at 37°C for 12-18 hours in the absence of light. This was done in four different trials, in which the cultures used were biological replicates.

### Statistical Analysis

ROI measurements were acquired in millimeters using an electronic caliper. Results from all four experiments were averaged, and standard error was calculated using the following equation:  $\sigma/\sqrt{n}$ , where  $\sigma$  is equal to the standard deviation and  $n$  is equal to the number of values. Inhibition curves were created by graphing the logarithm of the concentrations of the antibiotics against the average ROI. The graphs were fit to a linear curve and  $R^2$  values were obtained.

Unpaired t-testing was completed using the following equation:

$$t = \frac{\bar{x}_1 - \bar{x}_2}{\sqrt{s^2 \left( \frac{1}{n_1} + \frac{1}{n_2} \right)}}$$

where  $\bar{x}$  represents the population means,  $s^2$  represents the sample variances, and  $n$  the populations. The sample variance ( $s^2$ ) was calculated using the following equation:

$$s^2 = \frac{\sum_{i=1}^{n_1} (x_i - \bar{x}_1)^2 - \sum_{i=1}^{n_2} (x_i - \bar{x}_2)^2}{n_1 + n_2 - 2}$$

where the sum of the variances squared were subtracted and divided by the population. The t-distribution critical values table was then used to derive the statistic's corresponding critical value at the 95% confidence level, whereupon if the t-testing result was less than the critical value, the p-value was determined to be less than 0.05. Results with a p-value less than 0.05 were considered statistically significant.

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